

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of : Customer Number: 20277  
Xumu ZHANG : Confirmation Number:  
Serial No.: : Group Art Unit:  
Filed: November 5, 2003 : Examiner:  
For: ASYMMETRIC REDUCTIVE AMINATION OF KETONES

**INFORMATION DISCLOSURE STATEMENT**

Mail Stop IDS  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

In accordance with the provisions of 37 C.F.R. 1.56, 1.97 and 1.98, the attention of the Patent and Trademark Office is hereby directed to the documents listed on the attached form PTO-1449. It is respectfully requested that the documents be expressly considered during the prosecution of this application, and that the documents be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

This Information Disclosure Statement is being filed within three months of the U.S. filing date OR before the mailing date of a first Office Action on the merits. No certification or fee is required.

**Serial No.:**

Please charge any shortage in fees due in connection with the filing of this paper, including extension of time fees, to Deposit Account 500417 and please credit any excess fees to such deposit account.

Respectfully submitted,

MCDERMOTT, WILL & EMERY

  
Daniel Bucca, Ph.D.  
Registration No. 42,368

600 13<sup>th</sup> Street, N.W.  
Washington, DC 20005-3096  
(202) 756-8000 DB:gav  
Facsimile: (202) 756-8087  
**Date: November 5, 2003**

INFORMATION DISCLOSURE CITATION IN AN APPLICATION			ATTY. DOCKET NO. <b>59516-047</b>		SERIAL NO.	
			APPLICANT <b>Xumu ZHANG</b>			
(PTO-1449)			FILING DATE <b>November 5, 2003</b>	GROUP		
<b>U.S. PATENT DOCUMENTS</b>						
EXAMINER'S INITIALS	CITE NO.	Document Number Number-Kind Code <sub>2</sub> ( <i>if known</i> )	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document		Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	US	<b>6,207,868 B1</b>	<b>03/27/2001</b>	<b>Zhang</b>		
	US	<b>6,624,320 B2</b>	<b>09/23/2003</b>	<b>Matsumura</b>		
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<b>FOREIGN PATENT DOCUMENTS</b>						
EXAMINER'S INITIALS	CITE NO.	Foreign Patent Document Country Codes-Number -Kind Codes ( <i>if known</i> )	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines Where Relevant Figures Appear	Translation
						Yes
		<b>WO 01/14299 A1</b>	<b>03/01/2001</b>	<b>THE PENN STATE RESEARCH FOUNDATION</b>		
<b>OTHER ART</b> (Including Author, Title, Date, Pertinent Pages, Etc.)						
EXAMINER'S INITIALS	CITE NO.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.				
		<b>Dengming Xiao, et al. "Synthesis of a Novel Chiral Binaphthyl Phospholane and Its Application in the Highly Enantioselective Hydrogenation of Enamides." Organic Letter 1999, Vol 1, No. 10, pp. 1679-1681</b>				
		<b>Denming Xiao, et al. "Highly Enantioselective Hydrogenation of Acyclic Imines Catalyzed by Ir-f-Binaphthyl Complexes**" Communications: Angew. Chem. Int. Ed 2001, 40, No. 18, pp. 3425-3428</b>				
EXAMINER			DATE CONSIDERED			

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.